

PROFESSOR BAMFORD'S CONTRIBUTION TO POLYMER SCIENCE AND
ITS SIGNIFICANCE.

Georges J. Smets

Laboratory of Macromolecular and Organic Chemistry,
K. Universiteit Leuven, 3030 Leuven, Belgium

Abstract The contribution of C.H. Bamford to Polymer Science is considered successively from following aspects: a the conformation and properties of poly-alpha-aminoacids and polypeptide synthesis. b solid-phase addition polymerization. c free radical polymerization kinetics d new initiating systems for free radical polymerization and their application to block- and graft polymerization. Special attention is devoted to recent developments and their application possibilities.

INTRODUCTION

It is a challenge to present and discuss the contributions of a researcher who is still engaged in the front of advanced research and progress. The exceptional scientific activity of Professor Bamford for over 40 years, coupled with his authoritative competence, has however strongly marked the development of the physical chemistry and especially of the chemical kinetics of high polymers during the last decennia. It is my great privilege and honour to have been invited to present at this distinguished assembly Bamford's contribution to Polymer Science, and by doing so to participate to the celebration of an excellent scientist and a good colleague and friend.

C.H. Bamford started his career in Trinity College Cambridge where he received his Ph.D. under the guidance of R.G.W. Norrish with researches on the primary photochemical reactions and photodecomposition of aldehydes and ketones (1) It is from these early days that the distinction became clear between two types of reaction mechanisms of carbonyl compounds, type 1 characterized by decarbonylation and formation of saturated hydrocarbons type 2 by formation of unsaturated hydrocarbons and simpler ketones, the differences being due to the much shorter lifetime of the reaction intermediates in type 2 reactions, in which no radicals are produced. Gas phase photochemistry researches were pursued by studies on the photolysis of organic nitrogen compounds (e.g. dialkylnitrosoamines, methyl isocyanate) (2) and much later, when he was already in Liverpool, on reactions of methylene (produced by photolysis of ketene) with organic halides (3) in which the relative importance of the primary atom abstraction to the insertion reaction was evaluated for several examples. Very likely these fundamental photochemistry researches have originated and strongly stimulated Bamford's great interest for the study of reaction mechanisms and kinetics, which is characteristic of his entire further career.

PROPERTIES and SYNTHESIS of POLY-alpha-AMINOACIDS.

The work on the conformation and the properties of poly-alpha-aminoacids (mainly with W.E. Hanby, F. Happey and I.F. Trotter) and the related polymerization of N-carboxy-alpha-aminoacid anhydrides (with G.H. Ballard and H. Block) is one of the most important achievements of Bamford (4). These researches which extended over a period of nearly twelve years coincide practically with his directorship of Courtauld Research laboratories. Much attention was paid to the reversible alpha--beta transformation from the folded configuration into the extended one for several synthetic polypeptides, as poly-L-alanine, poly-D,L-phenylalanine, poly-gamma-methyl glutamate and copolypeptides. Changes of X-ray distances and remarkable modifications of infra red spectra after treatment with carboxylic acids (formic, dichloroacetic) were discussed in detail.

Concerning the mechanism of polypeptide synthesis from N-carboxyanhydrides the influences of primary and secondary bases, including preformed polymer, were examined as well as the catalytic effects of added metal salts (e.g. LiCl and alkali-metal salts of weak acids (sodium beta-phenylpropionate). They were interpreted assuming a partial displacement of mobile protons by the metal cation. Similarly with tertiary bases, as pyridine, alpha-picoline the initial attack on the monomer proceeds through removal of the proton from the endocyclic NH nitrogen, and the anion reacts further with a NCA-molecule without rupture of the -N-CO-bond (5)

Chain effect and heterogeneity effects which were observed deserve special attention. (6,7,8) The chain effect consists in a much higher rate increase in the presence of polysarcosine (optimum degree of polymerization 10) than with ordinary secondary bases; it is assumed that the polymerization takes place on the surface of the polysarcosine on account of the formation of H-bonded complexes, as shown afterwards by infrared analysis. The kinetics are comparable with those of enzymatic reactions. The heterogeneity effect is an increase of reaction velocity that coincides with the appearance of heterogeneity; it is admitted that the NCA's are preferentially soluble in the precipitating swollen polypeptide than in the reaction medium. Precipitation therefore increases the effective concentration of both monomer and catalyst and consequently causes an increase of rate of polymerization. Undoubtedly these scientific results and their critical interpretations illustrate clearly the high sagacity and experimental cleverness of their authors.

SOLID-PHASE ADDITION POLYMERIZATION

Solid-phase addition polymerization was studied by Bamford's group in the sixties mainly with the collaboration of Eastmond, Jenkins, Ward and Bibby. At that time much efforts were spent on similar problems in many internationally reputed laboratories, e.g. in Kyoto by Okamura and Hayashi (especially on cyclic monomers as trioxane, beta-propiolacton), in Moscow Kargin and Kabanov, in Brooklyn by Morawetz. A very interesting and critical review of the field was written by Bamford and Eastmond; the reader should refer to the original text (9) The main efforts of Bamford and colleagues were devoted to the polymerization of crystalline methacrylic acid, in which crystal dislocations play the major role since they determine sites of local mobility which is necessary for chain propagation. On U.V. irradiation of monomer, excitons are formed which can migrate through the lattice until trapped in imperfections, where radical may be formed. Application of stress was shown to be capable to suppress completely the polymerization of acrylic and methacrylic acids on account of restrictions of molecular mobility; polymerization is however resumed on release of the stress.

Fundamental differences were pointed out between the polymerization of cyclic monomers in which the orientation in the lattice is favorable for propagation and requires only limited mobility of atoms, and the polymerization of vinyl monomers where the interatomic (sp^2 into sp^3) and intermolecular distances have to change appreciably. On looking to the more recent data on solid state polymerization of Hasegawa (10) on photocyclodimerization reactions and of Wegner on dyne polymerization (11) it may be said that Bamford's contribution was one of the major precursors of the actual development of this promising field of research.

KINETICS OF FREE RADICAL POLYMERIZATION

Free radical polymerization has been investigated by Bamford since 1946, with the collaboration first of Dr. Dewar later with A.D. Jenkins. From that period dates the so-called viscosity method (12) for determining the individual velocity coefficients in polymerization reactions. Besides the rotating sector technique the method of Dewar and Bamford was much used for its convenience and high sensitivity; indeed it needs only measurement of the viscosimetric rate with thermal initiation alone and at different rates of photoinitiation, one molecular weight determination and measurement of the viscosity after-effect.

Kinetics of vinyl polymerization in heterogeneous conditions were mostly studied in collaboration with A.D. Jenkins, particularly for the case of acrylonitrile (13,14) Photochemical after-effects due to occluded radicals below 60° were shown and their consequence on the overall reaction kinetics deduced. Elaborated study of chain transfer reactions with tertiary amines was at the basis of one of the first methods for preparing block polymers by free

radical mechanism. It involves the polymerization of a second monomer (e.g. acrylonitrile) in the presence of a preformed polymer of the first monomer carrying tertiary amine endgroups.

The role of radical intermediates in polymerization processes has been reviewed recently by Bamford himself; in the present context it will be sufficient to underline just a few points (15). It was shown that solvent effects can affect in homopolymerization and copolymerization the values of the propagation and termination rate constants and may even influence the reactivity ratios in copolymerization; these effects are however weak. Much stronger are the effect of the addition of salts, particularly Lewis acids which form complexes with monomers and their radicals if they carry polar groups. The resulting changes of electron density cause significant modifications of the reactivities of the monomer and derived radicals. Sometimes even the tacticities of the resulting polymer may be modified. The most spectacular consequence of this complex formation was the synthesis of alternating copolymers of propylene and acrylonitrile in the presence of ethyldichloroaluminum by Hirooka et al. (16) who extended the method to several other vinyl monomer-Lewis acid combinations, all yielding alternating copolymers. Mechanistic considerations indicate that these regulated copolymerizations proceed likely through an alternating addition mechanism (15).

Interesting also are the considerations about the reactivity of free radicals as developed with Jenkins (17). A new interpretation of the O-e scheme was proposed on a more experimental basis, evaluating the general reactivity by transfer reaction with toluene and the polarity of the monomers on the basis of Hammett's sigma values.

NEW INITIATING SYSTEMS FOR FREE RADICAL POLYMERIZATION

New initiating systems for free radical polymerization of vinyl monomers became the focus of a very intensive and successful research of Bamford and coworkers begin of the sixties (17,18). Different types of systems have been studied throughout and will be considered here in their principles only. The first systems are based on organometallic derivatives of a transition metal in a low oxidation state (e.g. metal carbonyls) and a reactive additive. If the additive is a reactive organic halide (CCl_4 , CBr_4 , chloroform, ethylchloroacetate) reaction with molybdenum and manganese carbonyls proceeds through electron transfer from the transition metal to halide. In this case the predominant reaction scheme is



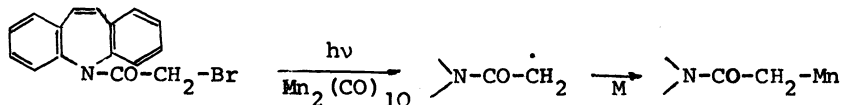
Organic radicals R° are produced and will initiate polymerization. The occurrence of the alternative reaction



depends on the electron affinity of R, the nature of the metal and that of the ligands (carbon oxide, arylisocyanide, triarylphosphine, trialkylphosphites etc) solvation effects.

All these systems generate radicals thermally on mixing both components, which are individually very stable. Some of them are also active photoinitiators, e.g. manganese and rhenium carbonyls which absorb and are active at 460 and 380 nm. respectively.

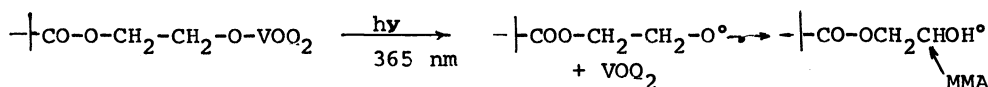
The principle of photosensitization of reactive halide with metal carbonyl can be nicely illustrated by a recent example of Bamford, Ledwith and coworkers (19). Using N-bromo-acetyl-di(benz-bf-azepine) as reactive halide, polymers were obtained with endgroup functionalization. Terminal dibenz(bf)azepine groups permit chain extension by cyclodimerization.



It was however discovered later that thermal initiation can result from the reaction of organotransition metal complexes with compounds with no abstractable halide or even halide free, e.g. tetrafluoroethylene, chlorotrifluoroethylene, alkyl acetylene dicarboxylate, dimethyl fumarate, mostly olefines with strong electronattracting groups (20,21). Initiating radicals result in these cases from the addition at the olefinic bond, e.g.



Irradiation of such copolymers in methyl methacrylate solution gives rise to extensive gelation, resulting from grafting and crosslinking through the macroradicals, as represented in following scheme



The method presents the advantage to operate at relatively long wave length. Undoubtedly it is susceptible for further improvements.

CONCLUSION

The present review stresses the main contributions of C.H. Bamford in the domain of free radical polymerization and free radical kinetics. They have considerably influenced our fundamental understanding of polymerization phenomena and the future development of Polymer Science in general.

REFERENCES

1. C.H. Bamford and R.G.W. Norrish: J. Chem. Soc. 1935 1504; 1938 1521, 1531, 1544
2. C.H. Bamford: J. Chem. Soc. 1939 12, 17
3. C.H. Bamford and J.E. Casson: Proc. Roy. Soc. A 312 141, 163 (1969)
4. C.H. Bamford, A. Elliott, W.E. Hanby: Synthetic Polypeptides Academic Press Inc
5. C.H. Bamford and H. Block: J. Chem. Soc. 1961 4989, 4992 N.Y. 1956
6. D.G.H. Ballard, C.H. Bamford and A. Elliott: Makromol. Chem. 35 222 (1960)
7. C.H. Bamford and R.C. Price: Trans. Faraday Soc. 61 2208 (1965)
8. D.G.H. Ballard and C.H. Bamford: J. Chem. Soc. 1959 1039
9. C.H. Bamford and G.C. Eastmond: Quarterly Revs. 23 271 (1969)
10. M. Hasegawa and Y. Suzuki: J. Polym. Sci B 5 813 (1967) pp. 209-42
11. G. Wegner in Molecular Metals, Plenum Publ. Corp. 1979 edit. W.E. Hatfield
12. C.H. Bamford and M.J.S. Dewar: Proc. Roy. Soc. A 192 309, 329 (1948); 197 356 (1949)
13. C.H. Bamford and A.D. Jenkins: J. Polymer Sci 14 511 (1954); 20 405 (1956)
34 271 (1959) with E.F.T. White; Proc. Roy. Soc. A 228 220 (1955)
14. C.H. Bamford, W.G. Barb, A.D. Jenkins and P.F. Onyon: Kinetics of vinyl polymerization by radical mechanism. Butterworths Publ. 1958
15. C.H. Bamford in Molecular Behaviour and Development of Polymeric Materials edit. A. Ledwith and A.M. North Chapman and Hall London 1975 chap. 2
16. M. Hirooka and al.: J. Polymer Sci B 5 47 (1967); J. Polymer Sci. A-1 6 1381 (1968)
J. Polymer Sci Chem. Ed. 11 1281 (1973)
17. C.H. Bamford in Reactivity, mechanism and structure in Polymer Chemistry edit. A.D. Jenkins and A. Ledwith J. Wiley and Sons 1974 chap. 3
18. C.H. Bamford and G.C. Eastmond: Pure and Appl. Chem. 12 183 (1966) (1977)
19. A.K. Alimoglu, C.H. Bamford, A. Ledwith and S.U. Mullik: Macromolecules 10 1081
20. C.H. Bamford and S.U. Mullik: Polymer 17 94, 225 (1976); J. Chem. Soc. Faraday Trans. 1 1976 368, 2218; 1977 1260; 1978 1634, 1648
21. S.M. Aliwi, C.H. Bamford and S.U. Mullik: J. Polymer Sci. Symposium 50 33 (1975)
22. C.H. Bamford, R.W. Dyson and G.C. Eastmond: Polymer 10 885 (1969);
J. Polymer Sci C 16 2425 (1967); C.H. Bamford,
G.C. Eastmond and D. Whittle: Polymer 16 377 (1975)
23. C.H. Bamford and S.U. Mullik: Polymer 19 948 (1978)
24. C.H. Bamford and D.J. Lind: Proc. Roy. Soc. A 302 145 (1968)
25. C.H. Bamford and A.N. Ferrar: J. Chem. Soc. Faraday Trans. 1 1243 (1972);
J. Chem. Soc. Chem. Comm. 1970 315
26. S.M. Aliwi and C.H. Bamford: Polymer 18 375, 381 (1977)